(Public) Interactive Violations with Linked Enforcement Report Fact Sheet

Summary: User-specified criteria return a report by Region or County, which shows both violations tied to an enforcement action and violations with no enforcement action. This report contains data from both CIWQS and SMARTS.

Using the Report (Navigation)

Location

This report is located under the Run Reports link in CIWQS under the Enforcement Reports heading. It is available to the public via the Public Reports page at:
http://www.waterboards.ca.gov/water_issues/programs/ciwqs/publicreports.shtml.

Search Criteria

The first criterion is a radio button and is required; one and only one of the options must be selected. The County option has a dropdown menu in which the user can select one county or all counties.

The other search criteria are optional. The user may choose to use any, all, or none of the criteria. The default will return all of the records.

Agency Type and Program are dropdowns. The user can choose one and only one of each. To use a dropdown, click the upside down triangle on the right. A list of values will display and the user is only able to select one.

The "filter out federal agencies" checkbox allows the user to see records associated to all agency types except federal agencies.

Date boxes are "free text" and require the format MM/DD/YYYY (e.g., 01/08/2010 for January 8, 2010). The default is the current calendar year.

The final gray button, which is called "Generate Report," starts the query.

Hyperlinks

At each level of the report, the user has the option of viewing a printer friendly version or downloading the report into Excel. By clicking the "Refine Search" hyperlink, the user can go back to the search screen where previously chosen criteria are saved.

The detailed levels of the report have links to go back to the previous summary page(s).

The bottom of each page allows the user to go back to the reports menu with the "Back to Main Page" link or jump to the top of the page with the "Back to Top of Page" link.

Columns can be sorted by clicking on the column header hyperlink. Clicking on the same heading twice will sort in reverse.

At the summary level, the user can drill down to a list of facilities by clicking on numbers under the following headers: "Violations Tied to Enforcement Actions," "Violations with no Enforcement Actions," and "Priority Violations with no Enforcement Actions." This page also displays percentages of total violations and total priority violations tied to enforcement actions, and with no enforcement actions.

From the list of facilities tied to enforcement actions, the user can drill to a list of formal and informal enforcement actions for each facility. By clicking on the links in the "Total Formal Enforcement Actions" or "Total Informal Enforcement Actions" columns, the user can drill to a list of enforcement actions and their linked violations.

On the final level of the report, the violation ID drills into the CIWQS record for those users that are logged in.

How current is the data?

This report is on a nightly refresh schedule, which means that changes made in CIWQS or SMARTS will not be reflected until the next day. Reports are constructed from all entered data as of the close of business the previous day. If the refresh fails, the data in the report will be stale. Please notify the CIWQS Help Center (866)-792-4977 or ciwqs@waterboards.ca.gov) if you believe a report has not been refreshed.

Where is this information coming from?

Search Fields

Region – this field comes from the Regional Board linked to the violation. In SMARTS, this is found in the Site Info tab.

County - this field comes from the place (facility or child of facility) linked to the violation. In SMARTS, this is found in the Site Info tab.

Agency (Organization) Type - this is the organization classification of the responsible party linked to the violation. In SMARTS, this field is "Type" and found in the Owner (CONSTW) or Operator (INDSTW) tab.

Program - this field comes directly from the violation. In SMARTS, this is a read-only field in the header called "Business Type."

Data range – this is based on the occurrence date of the violation

Summary Level

Region – see above

Violations tied to Enforcement Actions – a violation is counted here if it is linked to an enforcement action. In CIWQS, this can be done in the Violation Module or in the Enforcement tab of the Regulatory Measure Module. In SMARTS, this can be done in both the Violation and the Enforcement tabs.

Violations With No Enforcement Actions - a violation is counted here if it is NOT linked to an enforcement action.

Priority Violations With No Enforcement Actions - a violation is counted here if it is NOT linked to an enforcement action and the violation has the priority box checked in CIWQS or is Yes in the dropdown in SMARTS.

Violations with Enforcement Details

Facility – this field is the Facility or child of Facility linked to the violation with the role of "Originating." In SMARTS, this is the name found in the Site Info tab.

Organization – this field comes from the organization linked to the violation with the role of "Responsible Party." In SMARTS this is the Owner (CONSTW) or Operator (INDSTW).

2nd Level Detailed Report

- Enforcement Type
- Effective Date
- Status
- Violation Type
- Violation Occurrence Date
- Violation ID

Detailed Report

- Facility
- Organization
- Total Formal Enf. Actions
- Vios. linked to Formal Enf. Actions
- Total Informal Enf. Actions
- Vios linked to Informal Enf. Actions

Total Formal Enforcement Actions – based on the search criteria, this is the number of formal actions that the violation(s) are linked to. Formal Actions are all Enforcement Actions except Oral Communication, Notice of Violation, Staff Enforcement Letter, and Expedited Payment Letter.

Violations Linked to the Formal Enforcement Actions – this is the total number of violations that are linked to the formal enforcement actions, again based on the search criteria and the count that was selected

Total Informal Enforcement Actions – based on the search criteria, this is the number of informal actions that the violation(s) are linked to. Informal Actions include Oral Communication, Notice of Violation, Staff Enforcement Letter, and Expedited Payment Letter.

Violations Linked to Informal Enforcement Actions - this is the total number of violations that are linked to the informal enforcement actions, again based on the search criteria and the count that was selected

Details on Formal and Informal Enforcement

Enforcement Type – this is the Regulatory Measure Type from the Enforcement Action linked to the violation. In SMARTS, this is the Enforcement Action Type in the applicable enforcement record under the Enforcement tab.

Effective Date -- this comes from the general info tab of the Enforcement Action linked to the violation. In SMARTS, this is the issuance date in the applicable enforcement record under the Enforcement tab.

Status – this is the Status of the Enforcement Action and is found in the General Info tab of the Regulatory Measure Module in CIWQS and in the applicable enforcement record in the Enforcement tab in SMARTS.

Violation type – this is a required field in the Violation Module. It is always populated in the report. In SMARTS, the storm water-related violation type is a dropdown in the violation record under the Violation tab.

Occurred Date (occurrence date) – This is a required field in the Violation Module. If there was no occurrence date in SWIM, the migrated date is displayed. In SMARTS, this is found in the violation record under the Violation tab.

Violation ID – this is an auto-generated unique identifier. In CIWQS it comes directly from the Violation Module and allows user to drill into the CIWQS record when logged into CIWQS. In SMARTS, this is found in the Violation tab. In the report, identifiers that come from SMARTS have a leading "S."

Violations without Enforcement Details

Facility – see above

Organization – see above

Violations - a violation is counted here if it is NOT linked to an enforcement action.

Violations Not Linked Details

Violation Type – see above

Date – this is the occurrence date. See above.

Violation Status – this comes directly from the Violation Module. In SMARTS, this is found in the violation record under the Violation tab.

Violation ID – see above

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